

## NYU Institutional Review Board

Human Research Protection Program 665 Broadway, Suite 804 New York, NY 10012 Telephone: 212-998-4808 www.nyu.edu/irb Have you taken the NYU IRB Investigator Satisfaction Survey?

March 26, 2024

**PROTOCOL TITLE:** Efficiency in Healthcare Delivery: Measurement and Policy Design (IRB-FY2024-8633) **CAYUSE SP:** Not applicable

Dear Michael Dickstein,

This protocol was determined to be exempt from the federal policy. No further review is necessary **unless** protocol modifications related to human subjects research are proposed.

This determination was made with the understanding that the proposed research only involves the following activities, as defined at 45 CFR 46 104(d) category/ies:

Category 4. Secondary research for which consent is not required: Secondary research uses of identifiable private information or identifiable biospecimens, if at least one of the following criteria is met:

(i) The identifiable private information or identifiable biospecimens are publicly available;

(ii) Information, which may include information about biospecimens, is recorded by the investigator in such a manner that the identity of the human subjects cannot readily be ascertained directly or through identifiers linked to the subjects, the investigator does not contact the subjects, and the investigator will not re-identify subjects;

(iii) The research involves only information collection and analysis involving the investigator's use of identifiable health information when that use is regulated under 45 CFR parts 160 and 164, subparts A and E, for the purposes of "health care operations" or "research" as those terms are defined at 45 CFR 164.501 or for "public health activities and purposes" as described under 45 CFR 164.512(b); or

(iv) The research is conducted by, or on behalf of, a Federal department or agency using government-generated or government-collected information obtained for nonresearch activities, if the research generates identifiable private information that is or will be maintained on information technology that is subject to and in compliance with section 208(b) of the E-Government Act of 2002, 44 U.S.C. 3501 note, if all of the identifiable private information collected, used, or generated as part of the activity will be maintained in systems of records subject to the Privacy Act of 1974, 5 U.S.C. 552a, and, if applicable, the information used in the research was collected subject to the Paperwork Reduction Act of 1995, 44 U.S.C. 3501 et seq.

Please remember to use the IRB# and study title listed above on any documents or correspondence with the IRB concerning your research protocol.

Please note that the HRPP and/or IRB has the prerogative and authority to ask further questions, seek additional information, require further modifications, or monitor the conduct of your research.

IRB protocols must be <u>closed</u> when all human subjects activities are completed, including interaction/intervention with participants or analysis of identifiable data. If the principal investigator leaves the University prior to expiration of the study, the study must be closed or transferred to another NYU PI. Student-led protocols must be <u>closed</u> before graduation. Closure of student-led protocols which remain open after graduation are the responsibility of the faculty sponsor.

We wish you the best as you conduct your research. If you have any questions or need further help, please contact the HRPP at (212) 998-4808 or email ask.humansubjects@nyu.edu

Sincerely,

Scatt Fisher

Scott Fisher, CIP Director, Human Research Protection Program